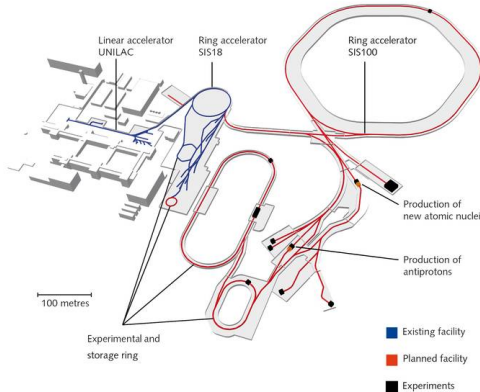


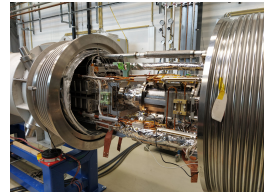
# Space Charge Limits and Possible Mitigation Approaches in the FAIR Synchrotrons

*Adrian Oeftiger*

HB2023, Geneva, Switzerland  
9 October 2023



[drone video of construction site ↗](#)



- string test of full SIS100 arc cell installed
- first SIS100 accelerator section to be installed in January 2024, IPAC'23 paper on SIS100 status ↗

# Motivation

## Facility for Antiproton and Ion Research

- SIS100: deliver high-intensity hadron beams

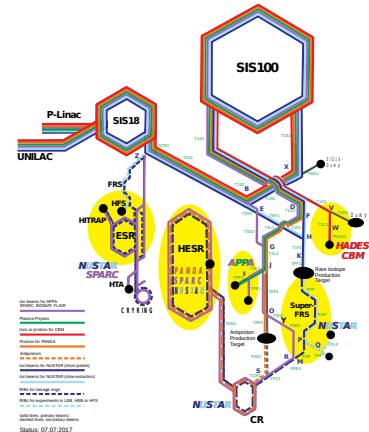


Figure: FAIR complex

# Motivation

## Facility for Antiproton and Ion Research

- SIS100: deliver high-intensity hadron beams
- crucial for performance: maintain beam quality during 1-sec injection plateau
- reference case: uranium  $U^{28+}$  beam
  - largest beam size vs. transverse aperture
  - space charge induced losses
    - ↔ important: dynamic vacuum stability
    - ⇒ low-loss operation < 5%!

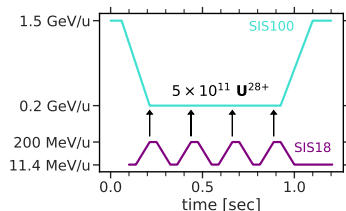


Figure: SIS18 to SIS100 transfer

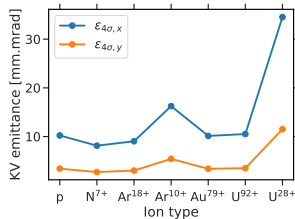


Figure: scaled beam sizes at 18 Tm

# Motivation

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### key questions

- What is the maximum tolerable intensity at the space charge limit?
- How to increase the space charge limit?

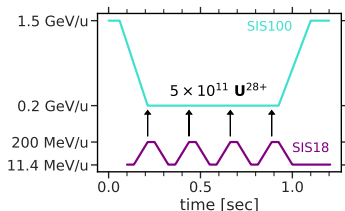


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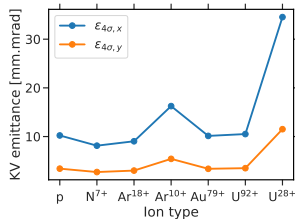


Figure: scaled beam sizes at 18 Tm

## Structure:

- A. The Model
- B. Betatron Resonances:
  - Intrinsic from Space Charge
  - External from Field Errors
- C. Space Charge Limit
- D. Mitigation Measures: Conventional & Novel
  - $\beta$ -beat Compensation
  - Bunch Flattening
  - Pulsed Electron Lenses

# A. The Model

Simulation model:

- track macro-particles (m.p.) through accelerator lattice & space charge kicks

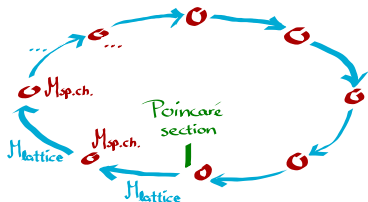


Figure: sketch of simulation model



Simulation model:

- track macro-particles (m.p.) through accelerator lattice & space charge kicks
- nonlinear 3D space charge (SC) models:
  - *self-consistent PIC*: particle-in-cell for open-boundary Poisson equation
  - *fixed frozen (FFSC)*: constant field map independent of m.p. dynamics
  - (*adaptive frozen (AFSC)*: field map scaled with m.p. distribution momenta)

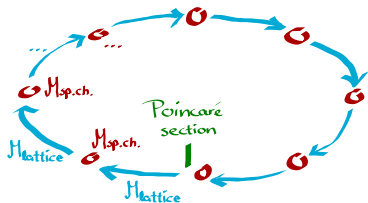


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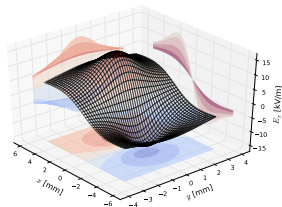
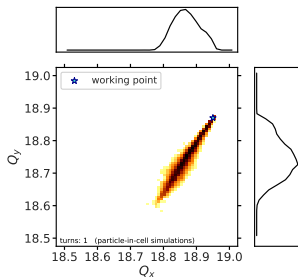


Figure: horizontal space charge field

## Maximum SC Tune Shift

$$\Delta Q_y^{\text{SC}} = -\frac{r_c \lambda_{\text{max}}}{\beta_0^2 \gamma_0^3} \oint \frac{ds}{2\pi} \frac{\beta_y(s)}{\sigma_y(s)(\sigma_x(s) + \sigma_y(s))}$$

$r_c$  : classical ion radius                       $\lambda_{\text{max}}$  : maximum line density  
 $\beta_0$  : speed in [c]     $\gamma_0$  : Lorentz factor     $\sigma_{x,y}$  : local rms beam size



Hor. norm. rms emittance $\epsilon_x$	5.9 mm mrad
Vert. norm. rms emittance $\epsilon_y$	2.5 mm mrad
Rms bunch length $\sigma_z$	13.2 m
Bunch intensity $N_0$ of $\text{U}^{28+}$ ions	$6.25 \times 10^{10}$
<b>Max. space charge <math>\Delta Q_y^{\text{SC}}</math></b>	<b>-0.30</b>
Rms chromatic $Q_{x,y}^l \cdot \sigma_{\Delta p/p_0}$	0.01
Synchrotron tune $Q_s$	$4.5 \times 10^{-3}$
Kinetic energy	$E_{\text{kin}} = 200 \text{ MeV/u}$
Relativistic $\beta$ factor	0.568
Revolution frequency $f_{\text{rev}}$	157 kHz

## B. Betatron Resonances

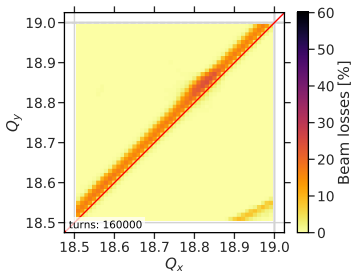
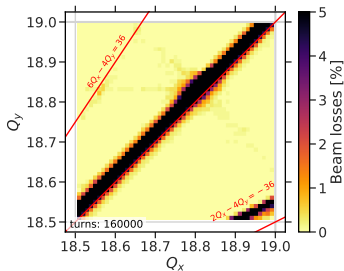


Figure: tune diagram of beam loss

Symmetric error-free SIS100 lattice:

- perfect dipole and quadrupole magnets
- exact symmetry of  $S = 6$
- space charge  $\rightarrow$  only source for resonances
- simulated for 160'000 turns = 1 second
- $\Rightarrow$  mainly Montague resonance visible
- $\Rightarrow$  absence of low-order structure resonances!



**Figure:** tune diagram of beam loss

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- ⇒ absence of low-order structure resonances!

Montague resonance  $2Q_x - 2Q_y = 0$ :

- 4<sup>th</sup>-order resonance
- intrinsically driven by space charge
- transverse emittance exchange for anisotropic beams

⇒ stopband always present around  $Q_x \approx Q_y$  for SIS100 beams

Space charge model predictions:

- **bad**: “adaptive frozen” resolves full exchange but predicts too large stopband extent
- + **good**: “fixed frozen” reproduces stopband edges well!

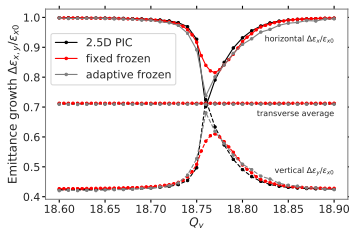


Figure: emittance exchange

Montague resonance  $2Q_x - 2Q_y = 0$ :

- 4<sup>th</sup>-order resonance
- intrinsically driven by space charge
- transverse emittance exchange for anisotropic beams

⇒ stopband for

**Observation**  
“Fixed frozen” model much better suited than “adaptive frozen” to approximate realistic PIC when **identifying loss-free conditions!**

Space charge model predictions:

- bad: “adaptive frozen” resolves full exchange but predicts too large stopband extent
- + good: “fixed frozen” reproduces stopband edges well!

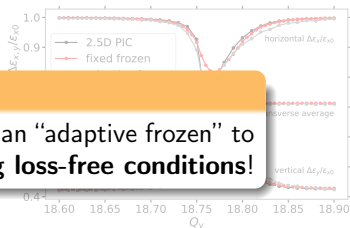


Figure: emittance exchange

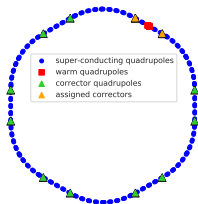


Figure: SIS100 quadrupole survey

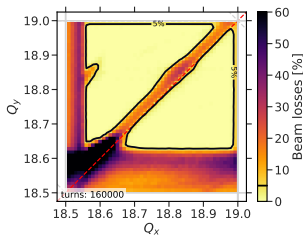
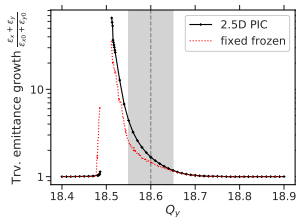


Figure: corrected warm quadrupoles

Real SIS100 lattice:

- 2 cold quadrupoles replaced by warm / normalconducting quadrupoles (radiation hardened, required in extraction region)
- breaking of  $S = 6$  symmetry by gradient error
- externally driven half-integer resonance
- can be minimised by quadrupole correctors
- ⇒ FFSC reproduces PIC stopband edges!





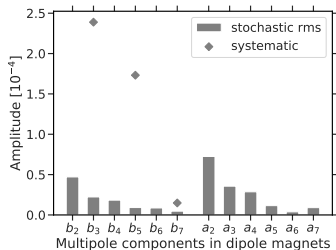


Figure: dipole magnets

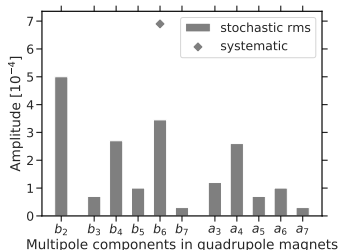


Figure: quadrupole magnets

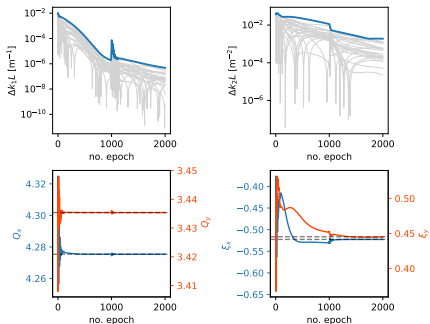
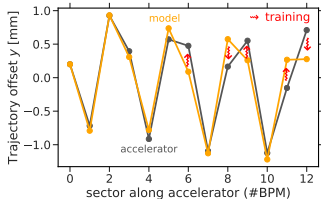
Field error model extracted from cold bench measurements of magnet units:

- stochastic amplitudes drive non-systematic resonances
- random number sequence → multipole errors for every dipole and quadrupole magnet

quadrupole model displayed here corresponds to PRAB paper version (based on stamped FoS), see [GSI-2021-00450 report](#) / for model based on series production and its comparison

HB'23 talk on Wednesday, C. Caliari on "Deep Lie Map Network" ↗:

- machine learning approach: train linear & nonlinear field errors on kick turn-by-turn data
  - start from model lattice, learn error multipoles  $k \cdot L$
- ⇒ effective lattice to better reproduce machine behaviour



**Figure:** learning of quadrupole & sextupole errors

# Full Model with Space Charge

Linear and nonlinear resonances driven by magnet field errors. Resonance condition without space charge:

$$mQ_x + nQ_y = p \quad \text{for } m, n, p \in \mathbb{Z}$$

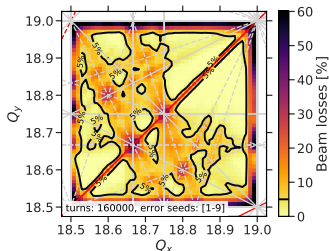


Figure: no space charge

Linear and nonlinear resonances driven by magnet field errors. Resonance condition without space charge:

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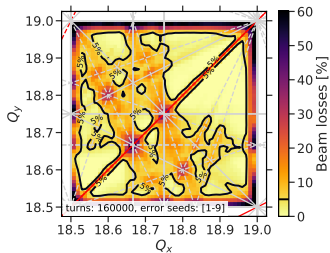


Figure: no space charge

include  
 $\Rightarrow$   
 SC

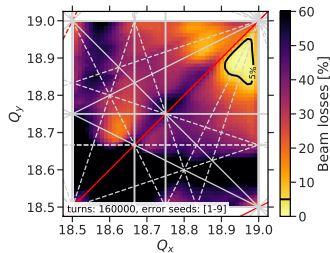


Figure: with fixed frozen space charge

→ SC broadens existing resonance stopbands

⇒ optimal working point area around  $(Q_x, Q_y) = (18.95, 18.87)$

# Validation with Self-consistent PIC

Self-consistent PIC simulations:

- ✓ validated Montague resonance
- ✓ validated half-integer resonance
- now validate full error model FFSC predictions for beam loss

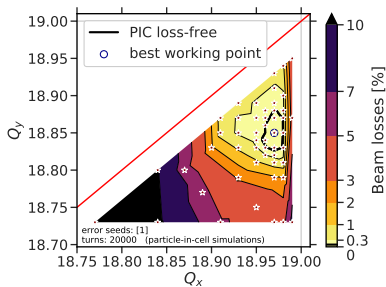


Figure: self-consistent PIC simulations

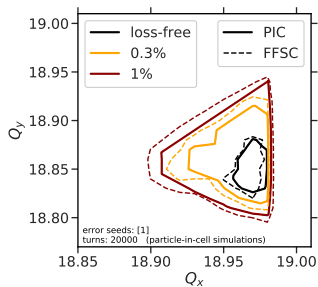


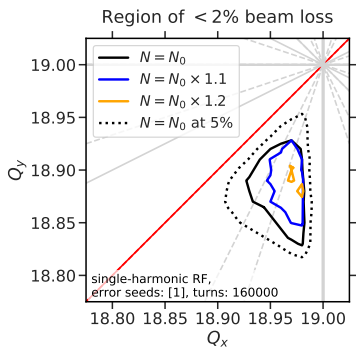
Figure: comparison between SC models

note: PIC simulations take 2 days (on NVIDIA V100 GPU) vs. FFSC simulations with 7 min (on 16 CPU cores, HPC AMD)

## C. Space Charge Limit

## dynamic definition of space charge limit

reached when loss-free working point area vanishes



Keeping all beam parameters identical,  
increasing  $N$ :

⇒  $U^{28+}$  space charge limit at **120%** of  
nominal bunch intensity  $N_0$ :

$$\max |\Delta Q_y^{SC}| = 0.36$$

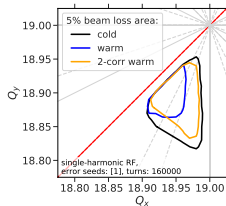
Figure: low-loss area for increasing  $N$

## D. Mitigation Measures: Conventional & Novel



Two sources of  $\beta$ -beat (gradient error):

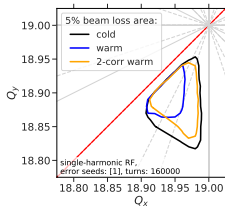
- warm quadrupoles: uncorrected = 2%



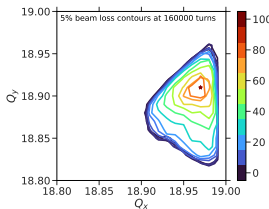
(a) low-loss area with warm quads

Two sources of  $\beta$ -beat (gradient error):

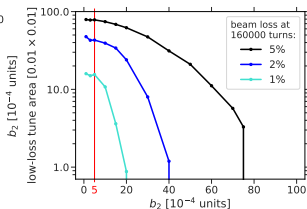
- warm quadrupoles: uncorrected = 2%
  - distributed  $b_2$ :  $\approx 0.5\%$
- ⇒ below  $b_2 = 10$  units: no significant effect on low-loss area size



(a) low-loss area with warm quads



(b) low-loss area with  $b_2$



(c) size of low-loss area vs.  $b_2$

# A Word on Coherent Stability

For SIS100, space charge parameter  $q = \Delta Q_y^{SC} / 2Q_s \approx 33$  at design  $N = N_0$ :

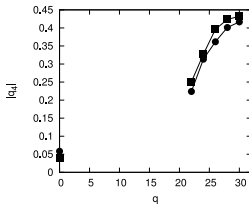
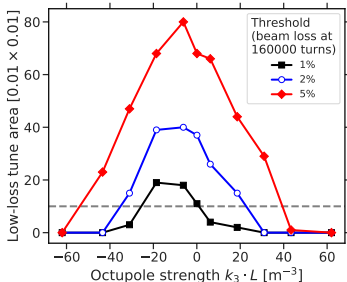


Figure 4: Results of the simulation scans for the  $k = 1$  mode: stability thresholds of the octupole power in a dependency from the space-charge parameter  $q$ . The circles are for the octupole polarity  $q_4 > 0$ , the squares are for the octupole polarity  $q_4 < 0$ .



(a) Required octupole strength for stabilisation of single-bunch resistive-wall instability [V. Kornilov, IPAC'23] ↗

(b) Incoherently tolerable octupole strengths

- octupole current of  $k_3 L = 35 \text{ m}^{-3}$  corresponds to  $q_4 = 0.55$ .
- ⇒ single-bunch stability through Landau damping from octupoles, transverse feedback system required for coupled-bunch stability

# Double-harmonic RF

Add  $h = 20$  harmonic in bunch lengthening mode:

$$V_{h=20} = V_{h=10}/2$$

⇒ obtain flattened bunches with reduced line density at 80% of nominal  $\lambda_{\max}$ .

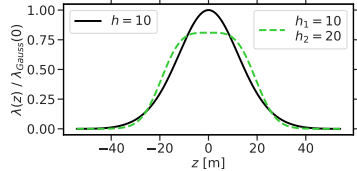


Figure: rms-equivalent line densities

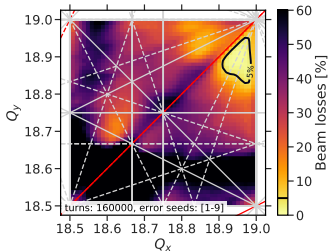


Figure: single-harmonic RF

flatten  
⇒  
bunch

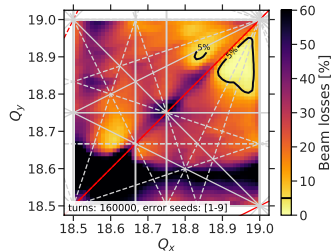
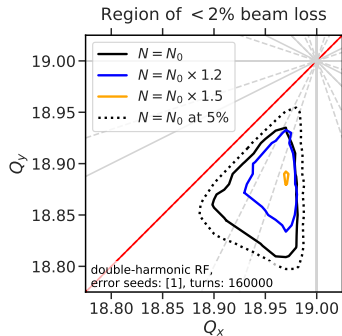


Figure: double-harmonic RF

Increasing  $N$  for double-harmonic RF:

- find space charge limit at **150%** of nominal intensity  $N_0$



**Figure:** low-loss area for increasing  $N$

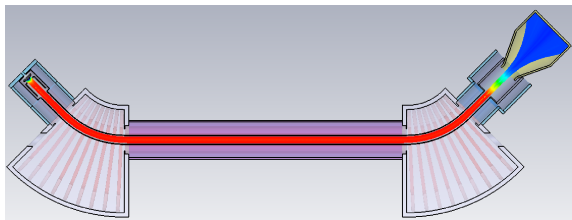


Figure: e-lens model for SIS18 [K. Schulte-Urlichs et al., IPAC'22] ↗



Figure: Modulation grid.

Short insertion (here  $L = 3.36$  m) with co-propagating electron beam:

- transversely homogeneous distribution
  - longitudinally modulated to match ion bunch profile
  - compensate longitudinal dependency of space charge
  - **suppress periodic resonance crossing**
  - additionally provide strong Landau damping for head-tail modes:
- V. Gubaidulin et al., PRAB 25, 084401 (2022) ↗ [tbc with strong SC]

Some  $n_{el}$  e-lenses with  $I_e$  current and rms beam size  $\sigma_e$  provide tune shift:

$$\Delta Q_y^e = \frac{1}{4\pi} \sum_{k=1}^{n_{el}} \beta_y(s_k) \frac{r_c}{Z_e} \frac{I_e}{\sigma_e^2 \gamma_0} \frac{1 - \beta_e \beta_0}{\beta_e} \frac{L}{\beta_0 c}$$

Define linear compensation degree (for Gaussian bunches  $\Delta Q^{KV} = \Delta Q^{SC}/2$ ):

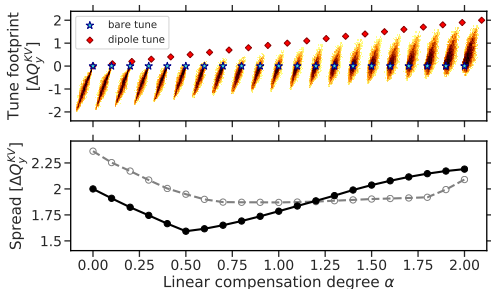
$$\alpha \doteq \frac{\Delta Q^e}{|\Delta Q^{KV}|}$$

Remarks:

- dipole tune increases with

$$\Delta Q_{dip} = \alpha \cdot \Delta Q^e$$

- without chroma,  $\alpha = 0.5$  yields smallest tune spread!



**Figure:** Gaussian bunch, tune footprint vs. e-lens strength (black:  $\Delta\rho/\rho_0 = 0$ , grey: with natural chromatic detuning)

In SIS100 with natural chromaticity:

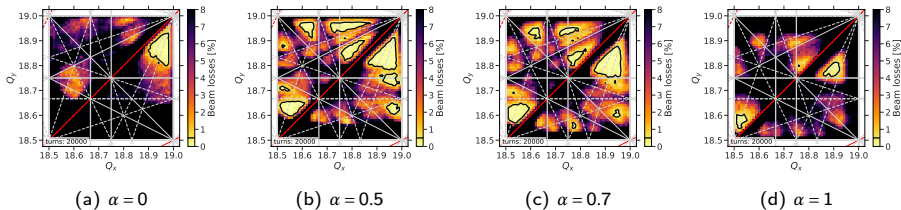
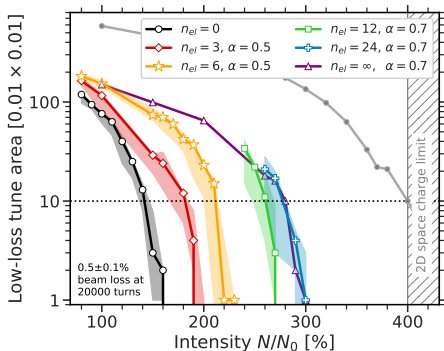


Figure: FAIR design intensity  $N = N_0$  with  $n_{el} = 3$  pulsed e-lenses.

- optimal choice of  $\alpha$  depends on nearby resonances  
    ⇒ depends on particularities of synchrotron
- SIS100: at low  $n_{el} \leq 6$ ,  $\alpha = 0.5$  optimal vs. high  $n_{el} > 6$ ,  $\alpha = 0.7$  better





**Figure:** low-loss area for increasing  $N$

**Table:** SC limit with electron lenses.

Number $n_{el}$	SC limit	Gain
0	$1.4 \cdot N_0$	100%
3	$1.8 \cdot N_0$	130%
6	$2.1 \cdot N_0$	150%
12	$2.6 \cdot N_0$	185%
24, $\infty$	$2.8 \cdot N_0$	200%

## Remarks:


- SC limit scales well
- $n_{el} = 24$  case saturates gain
- theoretical 2D limit ( $Q_s = 0$ , no e-lenses) = by construction no periodic resonance crossing  
 ⇒ reached after  $n_{el} = 24, \infty$

PHYSICAL REVIEW ACCELERATORS AND BEAMS 25, 054402 (2022)

## Simulation study of the space charge limit in heavy-ion synchrotrons

Adrian Oeftiger<sup>a,\*</sup>, Oliver Boine-Frankenheim<sup>a,1,2</sup>, Vera Chetvertkova<sup>a,1</sup>,  
Vladimir Kornilov<sup>a,1</sup>, Dmitrii Rabusov<sup>a,2</sup> and Stefan Sorge<sup>a,1</sup>

<sup>1</sup>GSI Helmholtzzentrum für Schwerionenforschung  
<sup>2</sup>Technische Universität Darmstadt

 (Received 5 November 2021)

## Pulsed Electron Lenses for Space Charge Mitigation

Adrian Oeftiger<sup>1,\*</sup> and Oliver Boine-Frankenheim<sup>1,2</sup>

<sup>1</sup>GSI Helmholtzzentrum für Schwerionenforschung GmbH, Planckstrasse 1, 64291 Darmstadt, Germany

<sup>2</sup>Technische Universität Darmstadt, Schlossgartenstrasse 8, 64289 Darmstadt, Germany

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ELECTRON LENSES FOR MODERN AND FUTURE ACCELERATORS

## Pulsed electron lenses for space charge compensation in the FAIR synchrotrons

S. Artikova,<sup>a</sup> O. Boine-Frankenheim,<sup>a,b,\*</sup> O. Meusel,<sup>a</sup> A. Oeftiger,<sup>a</sup> D. Ondreka,<sup>a</sup>  
K. Schulte-Urichs<sup>a</sup> and P. Spiller<sup>a</sup>

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journal homepage: [www.elsevier.com/locate/nima](http://www.elsevier.com/locate/nima)



## Characterization and minimization of the half-integer stop band with space charge in a hadron synchrotron

Dmitrii Rabusov<sup>a,\*</sup>, Adrian Oeftiger<sup>b</sup>, Oliver Boine-Frankenheim<sup>a,b</sup>

<sup>a</sup>Technische Universität Darmstadt, Schlossgartenstr. 8, 64289 Darmstadt, Germany

<sup>b</sup>GSI Helmholtzzentrum für Schwerionenforschung GmbH, Planckstr. 1, 64291 Darmstadt, Germany



## Summary:

- identified **optimal tune area** in SIS100 around  $(Q_x, Q_y) = (18.95, 18.87)$
- explored **space charge limit**:  $\max |\Delta Q_y^{SC}| = 0.36$ 
  - nominal SIS100: +20% intensity
  - double-harmonic RF: +50% intensity
  - 3 pulsed electron lenses: +70..80% intensity
- FAIR start planned in 2028 with “Early Science” programme

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## take-home messages

- fixed frozen SC model fast & validated tool to identify resonance-free tunes
- dynamic space charge limit: find based on tolerable loss & emittance growth
- pulsed electron lenses: optimum configuration for space charge mitigation

**Thank you for your attention!**

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